

Appendix A.5: CMS Appendix Z Table Crosswalk (MDH)

- CMS Appendix Z - [Emergency Preparedness Rule | CMS \(https://www.cms.gov/Medicare/Provider-Enrollment-and-Certification/SurveyCertEmergPrep/Emergency-Prep-Rule\)](https://www.cms.gov/Medicare/Provider-Enrollment-and-Certification/SurveyCertEmergPrep/Emergency-Prep-Rule)
- ASPR TRACIE Surveyor Tool EP Tags - [Interpretive Guidelines Surveyor Cheat Sheet \(https://view.officeapps.live.com/op/view.aspx?src=https%3A%2F%2Fwww.cms.gov%2FMedicare%2FProvider-Enrollment-and-Certification%2FSurveyCertEmergPrep%2FDownloads%2FSurveyor-Tool-EP-Tags.xlsx&wdOrigin=BROWSELINK\)](https://view.officeapps.live.com/op/view.aspx?src=https%3A%2F%2Fwww.cms.gov%2FMedicare%2FProvider-Enrollment-and-Certification%2FSurveyCertEmergPrep%2FDownloads%2FSurveyor-Tool-EP-Tags.xlsx&wdOrigin=BROWSELINK) (Excel Spreadsheet with all providers and tags)
- MDH Emergency Preparedness Appendix Z for assisted living facilities (ALF) and ALF with dementia care - [State Evaluations: Emergency Preparedness: Appendix Z \(p5069\) \(https://www.health.state.mn.us/facilities/regulation/assistedliving/docs/surveyforms/p5069.pdf\)](https://www.health.state.mn.us/facilities/regulation/assistedliving/docs/surveyforms/p5069.pdf) ¹
- MDH LTC Toolkit – [Long-Term Care \(LTC\) Emergency Preparedness \(https://www.health.state.mn.us/communities/ep/ltc/index.html\)](https://www.health.state.mn.us/communities/ep/ltc/index.html)

Base Plan Topics

Base Plan Topics	Fed Tags	Skilled Nursing Facility / Assisted Living Facility / AL Lic Group Homes	ICF/IID & Non-AL Lic Group Homes	Home Care Agencies	Hospice
Plain language	No tag	Not applicable	Not applicable	Not applicable	Not applicable
Continuity of Operations Plan (COOP) & Succession Planning	E-0007	Required	Required	Required	Required
Hazard Vulnerability Analysis (HVA)	E-0004	Required	Required	Required	Required
	E-006	Required	Required	Required	Required
Communication	E-0029	Required	Required	Required	Required

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Base Plan Topics	Fed Tags	Skilled Nursing Facility / Assisted Living Facility / AL Lic Group Homes	ICF/IID & Non-AL Lic Group Homes	Home Care Agencies	Hospice
Incident Command System (ICS)	E-0034	Required	Required	Required	Required
Facility/Agency Information and Contact Information	E-0030	Required	Required	Required	Required
Building Specific Information	E-0015	Required	Required	Not applicable	Inpatient
Decision Making	E-0001	Required	Required	Required	Required
HIPAA/1135 Waiver in Emergent Situations	E-0023	Required	Required	Required	Required
	E-0026	Required	Required	Required	Required
Ethical Guidelines	E-0020	Required	Required	Not applicable	Inpatient
Infection Control (PPE+)	E-0004	Required	Required	Required	Required
Crisis Staffing	E-0006	Required	Required	Required	Required
	E-0007	Required	Required	Required	Required
	E-0016	Not applicable	Not applicable	Not applicable	Required
Volunteers	E-0024	Required	Required	Required	Not applicable
Shelter-in-place	E-0022	Required	Required	Not applicable	Inpatient
Evacuation	E-0020	Required	Required	Not applicable	Inpatient
Evacuation Transportation	E-0018	Required	Required	Not applicable	Inpatient
	E-0020	Required	Required	Not applicable	Inpatient

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Base Plan Topics	Fed Tags	Skilled Nursing Facility / Assisted Living Facility / AL Lic Group Homes	ICF/IID & Non-AL Lic Group Homes	Home Care Agencies	Hospice
Evacuation Destination Information	E-0018	Required	Required	Not applicable	Inpatient
Evacuation Staffing	E-0018	Required	Required	Not applicable	Inpatient
	E-0020	Required	Required	Not applicable	Inpatient
Memorandum of Understanding	E-0025	Required	Required	Not applicable	Required
Recovery Plan	E-0006	Required	Required	Required	Required
Staff Care	E-0006	Required	Required	Required	Required
Behavioral Health	E-0006	Required	Required	Required	Required
Training and Testing	E-0036	Required	Required	Required	Required
	E-0037	Required	Required	Required	Required
	E-0039	Required	Required	Required	Required
Exercise, Evaluation, and Improvement Planning	E-0039	Required	Required	Required	Required
Resources and Support Agencies	No tag	Recommend	Recommend	Recommend	Recommend

Appendixes

Appendixes	Fed Tags	Skilled Nursing Facility / Assisted Living Facility / AL Lic Group Homes	ICF/IID & Non-AL Lic Group Homes	Home Care Agencies	Hospice
Appendix A.1 – CMS Emergency Preparedness Checklist	No tag	Recommend	Recommend	Recommend	Recommend
Appendix A.2 – ASPR TRACIC provider type definitions	No tag	Recommend	Recommend	Recommend	Recommend
Appendix A.3 – CMS Appendix EP Rule- Table Requirement by Provider Type	No tag	Recommend	Recommend	Recommend	Recommend
Appendix A.4 – CMS releases Updated Emergency Preparedness Guidance	No tag	Recommend	Recommend	Recommend	Recommend
Appendix A.5 – MDH Crosswalk (This document)	No tag	Recommend	Recommend	Recommend	Recommend
Appendix A.6.1 – MDH Supplemental Info for Group Home, Home Care and Hospice	E-0019	Not applicable	Not applicable	Required	Required
	E-0021	Not applicable	Not applicable	Required	Required
Appendix A.6.2 – Resident Emergency Preparedness Information	E-0017	Not applicable	Not applicable	Required	Not Applicable
Appendix B – Hazard Vulnerability Analysis Tool	E-0004	Required	Required	Required	Required

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Appendix C.1 – Communication	E-0029	Required	Required	Required	Required
	E-0032	Required	Required	Required	Required
	E-0033	Required	Required	Required	Required
	E-0034	Required	Required	Required	Required
	E-0035	Required	Required	Not applicable	Not applicable
Appendix C.2 – External & internal Contacts	E-0009	Required	Required	Required	Required
	E-0018	Required	Required	Not applicable	Inpatient
	E-0030	Required	Required	Required	Required
	E-0031	Required	Required	Required	Required
Appendix D.1 – ICS Organization Chart	E-0034	Required	Required	Required	Required
Appendix D.2 – HICS Incident Action Plan Quick Start Guide	E-0034	Required	Required	Required	Required
Appendix D.3 – ICS Guide digging deeper	E-0034	Not applicable	Not applicable	Not applicable	Not Applicable
Appendix D.4 – ICS Extra Job Action Sheets	E-0034	Not applicable	Not applicable	Not applicable	Not applicable
Appendix E – Facility / Agency Contact list	E-0030	Required	Required	Required	Required

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Appendix F – Building specific information	E-0015	Required	Required	Not applicable	Inpatient
Appendix G – HIPAA Wavier Toolkit	E-0023	Required	Required	Required	Required
	E-0026	Required	Required	Required	Required
Appendix H – Facility Shelter in Place Plan – Supply and Equipment list	E-0022	Required	Required	Not applicable	Inpatient
Appendix I – Evacuation Checklist	E-0020	Required	Required	Not applicable	Inpatient
Appendix J – Evacuation Transportation	E-0018	Required	Required	Not applicable	Inpatient
	E-0020	Required	Required	Not applicable	Inpatient
Appendix K – MOU Templates	E-0025	Required	Required	Not applicable	Required
Appendix L – Recovery Checklist	E-0006	Required	Required	Required	Required
Appendix M.1 – Exercise Evaluation and Improvement Planning Checklist AAR/IP	E-0036	Required	Required	Required	Required
	E-0037	Required	Required	Required	Required
	E-0039	Required	Required	Required	Required
Appendix M.2 – AAR/IP Template Short	E-0039	Required	Required	Required	Required

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Appendix M.3 – AAR/IP medium	E-0039	Required	Required	Required	Required
Appendix M.4 – AAR/IP from CMS	E-0039	Not applicable	Not applicable	Not applicable	Not applicable
Appendix N – Regional Contacts – Important Resources	No Tag	Recommend	Recommend	Recommend	Recommend

Annexes

Annexes	Fed Tags	Skilled Nursing Facility / Assisted Living Facility / AL Lic Group Homes	ICF/IID & Non-AL Lic Group Homes	Home Care Agencies	Hospice
Annex A – Emergency Notification of Administrator	E-0007	Required	Required	Required	Required
Annex B – Bioterrorism Threats	E-0004	Required	Required	Required	Required
Annex C – Bomb Threat	E-0013	Required	Required	Required	Required
Annex D – External Chemical Spill	E-0013	Required	Required	Required	Required
Annex E – Electrical Power Outage	E-0015	Required	Required	Not applicable	Inpatient
	E-0041	Required	Required	Not applicable	Inpatient
Annex F – Apartment Evacuation	E-0013	Required	Required	Required	Required
Annex G – Fire Guidance	E-0015	Required	Required	Required	Inpatient
Annex H – Severe Weather	E-0013	Required	Required	Required	Required
Annex I – Cybersecurity	E-0013	Required	Required	Required	Required
Annex J – Flood Guidance	E-0013	Required	Required	Required	Required
Annex K - Missing Resident	E-0007	Required	Required	Not applicable	Required
Annex L – Emergency Shut Down	E-0013	Required	Required	Required	Required

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Annex M – Emerging Infectious Diseases	E-0004	Required	Required	Required	Required
Annex N – Active Shooter	E-0013	Required	Required	Required	Required
Annex O – Radiological Incidents	E-0013	Required	Required	Required	Required